JPE 18-5-28

https://doi.org/10.6113/JPE.2018.18.5.1595 ISSN(Print): 1598-2092 / ISSN(Online): 2093-4718

# Hierarchical Control Scheme for Three-Port Multidirectional DC-DC Converters in Bipolar DC Microgrids

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## Abstract

In this paper, a hierarchical control strategy is introduced to control a new three-port multidirectional DC-DC converter for integrating an energy storage system (ESS) to a bipolar DC microgrid (BPDCMG). The proposed converter provides a voltage-balancing function for the BPDCMG and adjusts the states of charge (SoC) of the ESS. Previous studies tend to balance the voltage of the BPDCMG buses with active sources or by transferring power from one bus to another. Furthermore, the batteries available in BPDCMGs were charged equally by both buses. However, this power sharing method does not guarantee efficient operation of the whole system. In order to achieve a higher efficiency and lower energy losses, a triple-layer hierarchical control strategy, including a primary droop controller, a secondary voltage restoration controller and a tertiary optimization controller are proposed. Thanks to the multi-functional operation of the proposed converter has a significant capability to be used in portable BPDCMGs such as electric DC ships. The converter modes are analyzed and small-signal models of the converter are extracted. Comprehensive simulation studies are carried out and a BPDCMG laboratory setup is implemented in order to validate the effectiveness of the proposed converter and its hierarchical control strategy. Simulation and experimental results show that using the proposed converter mitigates voltage imbalances. As a result, the system efficiency is improved by using the hierarchical optimal power flow control.

Key words: Bipolar-type DC microgrid, Burst-mode control, Efficiency optimization, Hierarchical control, Multidirectional converters, Three-port converter (TPC), Voltage balancer

## I. INTRODUCTION

There has been an increased focus in research on DC microgrids, since they are seen as a useful technology to prepare an efficient interface between renewable energy sources, DC loads and ESSs [1]. Furthermore, a DC microgrid has fewer power electronic conversion stages when compared to an AC microgrid [2]. Therefore, they have increased reliability and stability [3, 4]. DC microgrids are categorized into two types [5]: unipolar-type and bipolar-type

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[6]. Unipolar DC microgrids have one voltage level in a two-wire DC distribution network. On the other hand, BPDCMGs have two voltage levels in a three-wire DC distribution network (positive, neutral and negative). BPDCMGs are suggested to achieve higher efficiency, better power transmission capability and improved reliability [6].

In addition to the advantages of BPDCMGs, they also present some technical challenges. In fact, when the loads are connected to the upper and lower terminals of a BPDCMG, they may have different powers. Therefore, the voltage of the terminals may drift from the rated value resulting in a voltage imbalance [7]. To quantify this voltage imbalance, the line voltage unbalances rate equation (LVUR) is utilized [2].

$$LVUR_{DC}\% = \frac{\text{voltagePole deviation}}{\text{avg pole voltage}} \times 100 = \frac{|V_{RL1} - V_{RL2}|}{\frac{V_{RL1} + V_{RL2}}{2}} \times 100$$
(1)

Manuscript received Feb. 24, 2018; accepted May 19, 2018

Recommended for publication by Associate Editor Fuxin Liu.

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 $V_{RL1}$  and  $V_{RL2}$  are the positive and negative buses voltages. In [8], various interlink converters (ICs) have been proposed. These circuits tend to balance the voltage at only one end of the BPDCMG. The main disadvantages of these structures are as follows. 1) A reduction of system reliability due to supplying loads using a single converter. 2) In case of the island mode, when the IC is disconnected from the AC grid, the voltage balancer control system should be turned into the island mode, which causes a significant voltage transient [9]. 3) In a BPDCMG with a long feeder length, this method cannot make the neutral line current reach zero. To compensate these issues, a new structure was proposed in [7]. In this structure, each of the distributed generator (DG) units provides a voltage-balancing function. In addition, the interlinking converter is connected to the BPDCMG. Due to an increasing number of converters, the system efficiency can be decreased. However, this topology improves the voltage balancing. In [2], an interleaved DC-DC converter is introduced to mitigate the unbalanced voltage. The advantage of this method is that there is no need for an active source as a compensator. In this regard, since batteries play an important role in BPDCMGs, it is possible to achieve a new structure for the battery converter in order to mitigate unbalanced voltage in addition to the charging and discharging of batteries. Therefore, the new converter has the following benefits. 1) Enhancing the BPDCMG loadability due to its ability to transfer terminal loads to another terminal. 2) Reducing the number of converters by integrating a voltage balancing converter and a battery converter. 3) Reducing the current of the neutral line and its associated power losses, while IC converters cannot eliminate the neutral line current for a BPDCMG with a long feeder length, since they attempt to balance the voltage at only one end of the BPDCMG [2]. 4) Balancing the possibility of voltage in the island condition of the BPDCMG by disconnecting the interlink converter.

A family of non-isolated DC-DC three-port converters (TPCs) for integrating renewable-energy sources (RESs) and an energy storage system into a DC bus is proposed in [10]. In [11], a multi-port converter, which integrates the energies of a PV panel, fuel cell and a battery, is introduced for supplying local loads. In [12], a three-port DC-DC boost converter is introduced. The presented converter interfaces two unidirectional input power ports and a bidirectional port for a battery. This converter can supply loads, and charge or discharge a battery by the sources connected to ports individually or simultaneously. Since multiple voltages are required for some applications, several studies have been done on different types of single-input multiple-output converters [13]. In [14], a new three-port DC-DC converter, based on the flyback converter, is introduced to integrate PV and battery systems to feed the loads connected to the converter. The flyback converter has many features, such as a high-output voltage range, isolation of the port voltages and a cost effective structure [15]. As can be seen, although a lot of investigations have been done on TPCs, these topologies are mostly designed to integrate multiple energy sources in certain polarities for consuming loads in other polarities. In addition, they cannot transfer power from all three polarities to the other one. Therefore, solutions for integrating a battery and a BPDCMG are still limited.

Within this context, this paper focuses on the voltage balancing of BPDCMGs and proposes a new isolated three-port multidirectional converter integrating a battery system with a BPDCMG. This converter has the capability of balancing positive and negative terminal voltages, in addition to charging and discharging a battery.

Due to the ability of the proposed three-port multidirectional converter to transfer current between two terminals, it is possible to supply positive or negative loads by positive and negative RESs. Furthermore, in battery charging conditions, the proposed three-port multidirectional converter has the ability to share the battery charging current between positive and negative RESs. Therefore, the proposed three-port multidirectional converter can be considered as a parallel-input-parallel-output converter. One challenging issue is the current sharing control among the paralleled outputs of RESs converters. Common current distribution methods consist of master-slave control [16], average current control [17], droop control, etc. Master-slave control, average current control and others methods require the transmission of current signals with high speed communication lines, which are not required in droop control [1]. In [7] and [6], droop methods have been introduced for current sharing in microgrids. Droop control is a decentralized strategy that does not need communication links and has many benefits such as higher reliability, flexibility, simplicity and inexpensiveness [18]. Although droop control methods make autonomous current sharing easier, they does not guarantee optimum system operation [19]. Optimum system operation can be achieved by considering the efficiency [20]-[22], repair and maintenance costs [23], fuel cost [24]-[26], etc. In this paper, optimization is based on the interconnect converters efficiency curves of RESs to the BPDCMG. In particular, it has been found that converter efficiency under light load conditions is relatively low [27]. In [28], a coordinative control strategy for enhancing the efficiency of paralleling source converters under light load conditions is proposed. In [27], a new tertiary control level including an optimization method for achieving efficient operation is proposed for paralleled DC-DC converters. Similarly, this paper proposes a hierarchical control method for adjusting the operation points of RESs converters. In addition, the proposed three-port multidirectional converter optimizes the sharing proportion among converters, and achieves a higher system efficiency and better battery power management.





Fig. 1. The structure of an isolated BPDCMG: (a) Typical isolated BPDCMG equipped with the proposed converter, (b) Proposed three-port multidirectional converter utilized for interfacing a battery to the buses of the BPDCMG, (c) Operation modes of the proposed converter.

# II. DESCRIPTION OF THE PROPOSED THREE-PORT MULTIDIRECTIONAL CONVERTER

The structure of an isolated BPDCMG is shown in Fig. 1(a). In the BPDCMG, loads can be connected between the positive and negative terminals, the positive and neutral terminals, and the negative and neutral terminals. Since the last two connections types may cause voltage imbalances between the positive and negative terminals, it is necessary to utilize a voltage balancing strategy. Therefore, the proposed three-port multidirectional converter is integrated into the BPDCMG to balance the voltages of the positive and negative terminals. In the considered the BPDCMG, it is

assumed that the RESs are connected by their respective converters, and that the characteristics of these converters are different. Therefore, each of them has an independent control system. The proposed three-port multidirectional converter is shown in Fig. 1(b). As can be seen in this figure, in the primary side of the transformer,  $V_{bat}$ ,  $i_P$  and  $L_m$  stand for the battery voltage, battery output current and magnetization inductance, respectively. Dual secondary windings are available on the other side of the transformer. In this side,  $i_{s1}$ and  $i_{s2}$  are the currents of the secondary windings,  $C_1$  and  $C_2$  denote the output voltage filter capacitors,  $R_{L1}$ and  $R_{L2}$  are the equivalent load resistors of the BPDCMG,  $V_{RL1}$  and  $V_{RL2}$  show the terminals voltages, and  $n_p, n_{s1}$  and  $n_{s2}$  denote for the primary, secondary and tertiary turns number. The proposed converter is analyzed under the island operating mode of the BPDCMG. In this operating mode, the interplay of the battery and the RESs takes on a considerable role. The proposed three-port multidirectional converter usually operates under constant-voltage mode control, which causes additional power loss, such as switching loss and conduction loss. To increase system efficiency and reliability, the proposed three-port multidirectional converter operates under the proposed two dimension (2D) burst mode or in the skip mode control method. The burst control mode operates using the cycle-skipping method to reduce the switching loss in low power switching converters and to increase the operational efficiency. During cycle skipping, each of the skips of the switching can be started at the minimum-allowed voltage value and stopped at the maximum-allowed-voltage value [29]. Fig. 1(c) shows the four operation modes of the proposed three-port multidirectional converter for operating in the BPDCMG. To simplify the analysis of the proposed three-port multidirectional converter, it is assumed that the indexes '*I*' and '*J*' are defined as:  $I \neq J \in \{1,2\}$ .

## A. Mode 1: Natural Balance Mode

Based on the idea of the burst mode, a new 2D burst mode control scheme is proposed for this converter. As shown in Fig. 1(c), when  $V_{RL,I}$  and  $V_{RL,J}$  are inherently preserved between  $V_{min-allowed}$  and  $V_{max-allowed}$ , the proposed converter does not operate and the battery is isolated from the BPDCMG during this time. In this mode, the RESs are responsible for their own DC bus voltages.

## B. Mode 2: Battery Charging Mode

When  $V_{max-allowed} < V_{RL,I} < V_{max}$  and  $V_{min-allowed} < V_{RL,J} < V_{max}$ , the RESs generation potential is more than the load demands in each of the two terminals. In this mode, if the battery does not reach to its maximum SoC level, the redundant power of one or both of the terminals may be utilized to charge the battery. Since this case occurs under light load conditions, in order to provide the possibility to achieve maximum system efficiency, the proposed converter



Fig. 2. Proposed three-port multidirectional converter in mode 2 assuming I=1 and  $i_3 \neq 0$ : (a) Equivalent circuit, (b) Switching pattern (assuming the battery demand has more current than  $R_{L,J}$  demand), (c) State I, (d) State II, (e) State III, (f) State IV.

should be able to transfer power between the two terminals in addition to charging the battery simultaneously and with a different power sharing. In this case, since the RESs are responsible to regulate the DC bus voltages, the proposed converter operates under voltage control and can be modeled as a voltage source. Fig. 2(a) shows an equivalent circuit under this operational mode.

There is no possibility of simultaneous transmission of power from the positive to negative terminals or from the negative to positive terminals. In other words, in the case of power transmission from the positive terminal to the negative terminal, in order to share the load power of  $R_{L2}$ , the RES<sub>2</sub> power does not sent back the power to the positive terminal. This condition is also available for power transmission from the negative terminal. Therefore, (2) and (3) are obtained for the two aforementioned conditions.

$$if \ i_3 \neq 0 \to r_1 = 0 , i_4 = 0$$
 (2)

$$f \ i_4 \neq 0 \to r_2 = 0 \ , i_2 = 0 \tag{3}$$

In general, the currents are given by the following equations:

$$\begin{bmatrix} l_{RL1} \\ i_{RL2} \\ i_{bat} \end{bmatrix} = \begin{bmatrix} 1 & 0 & 0 & 1 & 0 & 0 \\ 0 & 1 & 1 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 1 & 1 \end{bmatrix} \begin{bmatrix} i_1 & i_2 & \dots & i_6 \end{bmatrix}'$$
(4)

$$\begin{cases} i_{res1} = i_1 + i_3 + i_5 \\ i_{res2} = i_2 + i_4 + i_6 \end{cases}$$
(5)

Since the battery is charged by RES<sub>1</sub> and RES<sub>J</sub> through the proposed converter, and the  $R_{L,J}$  voltage is directly regulated by RES<sub>J</sub> and RES<sub>I</sub> through the proposed converter. Therefore, the proposed converter should regulate the voltages  $V_5$  and  $V_6$  on the battery side and the voltage  $V_{(l+2)}$  on the terminal J. The voltage error is defined as (6).

$$eV_n = V_{ref,n} - V_n \quad n = \{1, 2, \dots, 6\}$$
 (6)

According to the switching times of  $S_{I2}$ ,  $S_{I3}$ ,  $S_{J2}$ ,  $S_{J3}$  and  $S_{p1}$ , as shown in Fig. 2(b), the converter has the following four distinctive states. In this figure, it is assumed that  $i_{res,I} > i_{res,J}$ .

State I (Fig. 2(c)):  $S_{I2}$ ,  $S_{I3}$ ,  $S_{J2}$  and  $S_{J3}$  are turned ON. Therefore,  $L_m$  absorbs energy from  $V_I + V_J$ . The coefficient  $K_I$  is directly related to  $eV_{(J+4)}$ .

State II (Fig. 2(d)):  $S_{I2}$  and  $S_{I3}$  stay ON. Therefore,  $L_m$ 



Fig. 3. Control system of the proposed three-port multidirectional converter: (a) Primary control scheme of the proposed three-port multidirectional converter, (b) Bode diagram of the plant transfer functions with and without compensation.

absorbs energy from  $V_I$ . The coefficient  $K_2$  is directly related to the maximum amount of  $eV_{(l+4)}$  and  $eV_{(l+2)}$ . In Fig. 2(b), it is assumed that  $eV_{(l+4)} > eV_{(l+2)}$ , since  $S_{I2}$  is turned OFF earlier than  $S_{Pl}$ .

State III (Fig. 2(e)):  $S_{Pl}$  and  $S_{l2}$  stay ON and  $L_m$  sends the absorbed energy to the battery and  $R_{L,J}$  through  $D_{P2}$ ,  $S_{pl}$ ,  $S_{l2}$ ,  $D_{l1}$ ,  $D_{l3}$  and the freewheeling diode  $D_m$ . In this state, the coefficient  $K_3$  is related to the minimum amount of  $eV_{(l+4)}$  and  $eV_{(l+2)}$ . In Fig. 2(b) it is assumed that  $eV_{(l+4)} < eV_{(l+2)}$ .

State IV (Fig. 2(f)): since the battery demands more current than  $R_{L,J}$ ,  $S_{I2}$  is kept OFF and  $S_{p1}$  is kept ON. Alternatively, if  $R_{L,J}$  absorbs more current than the battery,  $S_{P1}$  is kept OFF and  $S_{I2}$  is kept ON.

#### C. Mode 3: Buses Power Transferring Mode

When  $V_{ref} \leq V_{RL,I} \leq V_{max}$  and  $V_{min} \leq V_{RL,J} \leq V_{min-allowed}$ , RES<sub>1</sub> is responsible for regulating the DC terminals voltages and RES<sub>3</sub> operates under the MPPT condition. Therefore, the converter transfers power from the terminal *T* to the terminal *'J'* under the voltage mode control. This is done to regulate the voltage of terminal *'J'*. The operation of this mode is defined as follows:

State I:  $S_{I2}$  and  $S_{I3}$  are turned ON. Therefore, the  $L_m$  current through the transformer increases linearly.

State II: When  $S_{I3}$  is turned OFF, the transformer magnetizing inductor current discharges on the load  $R_{LJ}$ .

#### D. Mode 4: Battery Discharging Mode

When  $V_{min} < V_{RL,I} < V_{ref}$  and  $V_{min} < V_{RL,J} < V_{min-allowed}$ , RES<sub>1</sub> and RES<sub>3</sub> operate under the MPPT control mode. In this mode, if  $V_{min-allowed} < V_{RL,I} < V_{ref}$ , then the voltage value is in an acceptable range and the battery only regulates the R<sub>LJ</sub> voltage. The operation of this mode defined as follows:

State I:  $S_{P2}$  is ON. Therefore  $L_m$  absorbs energy form  $V_{bat}$ . During this state, the load currents are supplied by  $C_1$  and  $C_2$ . State II:  $S_{11}$  and  $S_{21}$  are ON and the absorbed energy is sent to the upper and lower loads.

State III: In this state the converter acts in the balancing function. If  $R_{L1} < R_{L2}$  ( $R_{L1}$  absorbs more current),  $S_{11}$  is kept ON. Alternatively, if  $R_{L2} < R_{L1}$ ,  $S_{21}$  is kept ON.

# III. CONTROL SYSTEM OF THE PROPOSED THREE-PORT MULTIDIRECTIONAL CONVERTER

Fig. 3(a) shows the voltage and current control loops of the upper and lower terminals. To adjust the transient response and to meet small steady-state error, the duty cycle to the output voltage small-signal transfer function is acquired using the average state-space method. These equations are written for power transmission from the battery to  $R_{Ll}$ . Therefore, the state-space equations for the two states of mode 3 (assuming  $V_{min-allowed} < V_{RL2} < V_{ref}$ ) are derived and averaged over one switching cycle. The state variables are the voltages of  $C_l$  ( $V_{RLl}$ ), and the inductor  $L_m$  current ( $i_{Lm}$ ). The input vector is the source voltage that in this mode is the battery voltage ( $V_{bat}$ ).

In the proposed converter, turns number of the transformer in each winding is equal. Therefore, the transfer function of the perturbed output voltage  $(\hat{y}(s))$  versus the perturbed inductance current  $(\hat{\iota}_L(s))$ ,  $G_v(s)$  and the perturbed inductance current  $(\hat{\iota}_L(s))$  versus the perturbed duty cycle  $(\hat{d}(s))$ ,  $G_i(s)$ by substituting the parameters shown in Table I are obtained as (7) and (8).

$$G_{\nu}(s) = \frac{\hat{\gamma}(s)}{\hat{\iota}_{L}(s)} = -\frac{s - 40000}{8 \times (2s + 75)}$$
(7)

$$G_i(s) = \frac{f_i(s)}{\hat{d}(s)} = \frac{400 \times (2s + 75)}{s^2 + 25s + 500000}$$
(8)

The transfer function of the voltage control system  $(G_{cv}(s))$  and that of the current control system  $(G_{cc}(s))$ , shown in Fig. 3(a), are represented by (9) and (10).

SYSTEM PARAMETER VALUES		
Parameter	Value	
Inductor L <sub>m</sub>	2.5 mH	
Capacitor C1 and C2	200 µF	
Duty cycle D	0.5	
Load R <sub>load</sub>	200 Ω	
Transformer winding turns $N_P = N_{s1} = N_{s2}$	1	
Battery	2000 Ah, 400 V	
Reference voltage (V <sub>ref</sub> )	400 V	
Maximum voltage (V <sub>max</sub> )	408 V	
Minimum voltage (V <sub>min</sub> )	392 V	
Maximum-allowed voltage (V <sub>max-allowed</sub> )	404 V	
Minimum-allowed voltage (V <sub>min-allowed</sub> )	396 V	
Inductor current upper limit (i <sub>MAX</sub> )	10 A	
Duty cycle upper limit (D <sub>MAX</sub> )	0.8	

TABLE I System Parameter Values

$$G_{cv}(s) = 0.018 + \frac{(0.641 + I_{ref,i} - I'_{ref,i})}{s}$$
(9)

$$G_{cc}(s) = 0.628 + \frac{261.66}{s} \tag{10}$$

In order to avoid kicks in the control signal due to setpoint changes from the voltage control and the battery charge control strategy, an anti-windup transfer has been used. Using the model introduced in [30] and [31], the anti-windup transfer is achieved by adding  $(I_{ref,i} - I'_{ref,i})$  to the integral coefficient term of  $G_{cv}(s)$ . In this case,  $I'_{ref,i}$  is the output value of the voltage control block and the index *i* represent the bus number.

With the parameters mentioned above, a Bode diagram of the open-loop and closed-loop control system is shown in Fig. 3(b). By analyzing the amplitude-frequency characteristic and phase-frequency response curves in the Bode diagram, it can be concluded that the designed controller provides a good stability margin for the system.

# IV. HIERARCHICAL CONTROL ARCHITECTURE FOR LIGHT LOAD CONDITIONS

In order to improve the overall system efficiency under light load conditions, a hierarchical control strategy with virtual resistance optimization for efficiency enhancement and battery power management has been proposed. The structure of the hierarchical control is shown in Fig. 4. The primary control or droop control appears as an upper loop, over the voltage control loop. Voltage deviation is restored in the secondary control. Finally, tertiary control is normally responsible for power flow control. Furthermore, optimization techniques can be used in this level following up on the operation points of the converters and improving the operation of microgrids [27]. Droop controlled DC–DC converters act as a voltage source in series with a virtual resistance. The droop controller is located on top of the voltage control loop to connect several unites in parallel to



Fig. 4. Hierarchical control applied to the proposed converter.

share power between them. Therefore, the droop controller produces a proper reference for the voltage loop as (11).

$$\begin{bmatrix} V_{ref,1} \\ V_{ref,2} \\ V_{ref,3} \\ V_{ref,4} \\ V_{ref,5} \\ V_{ref,6} \end{bmatrix} = V_{RES}^* - [r_1 \quad r_2 \quad r_3 \quad r_4 \quad r_5 \quad r_6][\dot{i}_1 \ \dot{i}_2 \dots \dot{i}_6]'$$
(11)

 $V_{ref,n}$ ,  $n = \{1, 2, ..., 6\}$  is the terminal reference voltage. Furthermore,  $i_n$  and  $r_n$ ,  $n = \{1, 2, ..., 6\}$  are the output current of the RESs and the VR values. The main disadvantage of droop control is its poor voltage regulation. According to (11), the voltage deviation in the primary control is intrinsic and depends on the output current. Therefore, secondary control has been proposed in order to solve this issue. The terminal bus voltage is obtained and compared with the reference voltage  $V_{ref,n}^*$ ,  $n: \{1, 2, ..., 6\}$ , and the voltage error is applied to a proportional-integral (PI) controller to produce a compensating adjustment ( $\delta v$ ) for the reference value.

$$\delta v = k_P \left( V_{ref,I} - V_{RL,I} \right) + k_i \int \left( V_{ref,I} - V_{RL,I} \right) dt \qquad (12)$$

Then the new reference voltage can be obtained as (13).

$$V_{RES}^* = V_{RES} + \delta v \tag{13}$$

In the top layer, system data such as limits of the flow currents in the lines and converters, and limits of the generation power and voltage of the terminals and battery are received by the tertiary level. In this layer, the operation mode of the system is determined. Therefore, under light load conditions, the optimization algorithm finds the optimal load power sharing ratio. Virtual output resistances are the decision variables in this algorithm. Furthermore, a first-order Butterworth low-pass filter (LPF) is recommended for use in the tertiary control level and the BPDCMG to smooth the changing of VRs. In addition, a first-order Butterworth low-pass filter (LPF) is recommended for use in the tertiary control level and the BPDCMG to smooth the changing of VRs.

# V. OPTIMIZATION PROBLEM FORMULATION AND ANALYSIS

In a BPDCMG with many source units, the total efficiencies usually correspond to the conversion efficiency, which mostly consists of the switching losses of the semiconductor components. Since these losses are a function of the output current, the converter efficiency changes with the output current. IN a BPDCMG, different types of power sources with different DC-DC converters can be used to integrate the RESs to the BPDCMG. Therefore, the related converter efficiency curves are considered different [32].

In this regard, different efficiency curves, in order to demonstrate the performance of the proposed hierarchical control method, have been considered for the RES<sub>1</sub> and RES<sub>2</sub> converters. For example, a boost converter is considered as the RES<sub>1</sub> converter [33]. In addition, the RES<sub>2</sub> converter is assumed to be a one-switch forward converter [34]. A typical efficiency curve for a boost converter and a one-switch forward converter are introduced in [35] and [36]. Since the efficiency curve varies with respect to the input voltage and power of the converter, these efficiency curves are obtained theoretically at a voltage of 400V, taking into account the actual model of the switches and adding the parasitic series resistors of the inductors and capacitors. Similarly, theoretical efficiency curves of the representative operation modes of the proposed converter are shown in Fig. 5.

The proposed converter has many switches. However, since some switches always remain in ON state during the switching time, the conduction loss is small and the proposed converter can still achieve a high conversion rate. The highest efficiency is attained between 30% and 60% of the maximum output current. Therefore, by using this figure, the optimization algorithm obtains an appropriate ratio to share the load demands and the battery charging current in the BPDCMG. A two-term exponential model in MATLAB Curve Fitting Tool is utilized to change the data into function as (14)-(17).

$$\eta_{res1} = 0.9832 e^{-0.008949 i_{res1}} - 0.2164 e^{-1.723 i_{res1}}$$
(14)

$$\eta_{res2} = 0.9707 e^{-0.01882 i_{res2}} - 0.3858 e^{-1.605 i_{res2}}$$
(15)

$$\eta_{dc2dc} = 0.8918e^{-0.01897i_{dc2dc}} - 0.1811e^{-1.254i_{dc2dc}}$$
(16)

$$\eta_{dc2bat} = 0.9429e^{-0.01698i_{dc2bat}} - 0.2085e^{-1.407i_{dc2bat}}$$
(17)

Where  $\eta_{res1}$ ,  $\eta_{res2}$ ,  $\eta_{dc2dc}$  and  $\eta_{dc2bat}$  are the efficiencies of the RES<sub>1</sub> and RES<sub>2</sub> converters and the proposed converter (in the DC terminal to DC terminal and it the battery to DC terminal power transferring modes).  $i_{res1}$ ,  $i_{res2}$ ,  $i_{dc2dc}$  and  $i_{dc2bat}$  are the converters related currents.

Considering the equivalent circuit shown in Fig. 2(b), the power conversion losses of the RES converter and the proposed converter in mode 2 are calculated as follows:

$$P_{T,RL1} = \frac{V_{RL1} \cdot i_1 \cdot (1 - \eta_{res1})}{\eta_{res1}} + \frac{V_{RL1} \cdot i_4 \cdot (1 - \eta_{res2} \cdot \eta_{dc2dc})}{\eta_{res2} \cdot \eta_{dc2dc}}$$
(18)



Fig. 5. Efficiency vs. load current diagram of RESs converters and the proposed converter.

$$P_{T,RL2} = \frac{V_{RL2} \cdot i_2 \cdot (1 - \eta_{res2})}{\eta_{res2}} + \frac{V_{RL2} \cdot i_3 \cdot (1 - \eta_{res1} \cdot \eta_{dc2dc})}{\eta_{res1} \cdot \eta_{dc2dc}} \quad (19)$$

$$P_{T,bat} = \frac{V_{bat} \cdot i_5 \cdot (1 - \eta_{res1} \cdot \eta_{dc2bat})}{\eta_{res1} \cdot \eta_{dc2bat}} + \frac{V_{bat} \cdot i_6 \cdot (1 - \eta_{res2} \cdot \eta_{dc2bat})}{\eta_{res2} \cdot \eta_{dc2bat}}$$
(20)

$$P_{TL} = P_{T,RL1} + P_{T,RL2} + P_{T,bat}$$
(21)

As can be seen from some typical efficiency curves of the RESs converters and the proposed three-port multidirectional converter in Fig. 5, under light load conditions, the efficiency of the converters is low, and if the converters share loads and battery charging currents equally, the system efficiency is the average efficiency of them all. With the help of the proposed three-port multidirectional converter, power can be transferred between two buses.

Furthermore, the battery charging current can be supplied by the RESs in such a way that the system efficiency is maximized. Therefore, as shown in Fig. 2(a), two series converters and a parallel converter operate to provide the current of each load. In addition, two series converters and two parallel converters operate to charge the battery. The general approach to increasing system efficiency is to differentiate the sharing proportion in mode 2 instead of equal sharing of the load current. The sharing proportion gains  $k_1$ ,  $k_2$  and  $k_b$  for the loads ( $R_{L1}$  and  $R_{L2}$ ) and for charging the battery are defined as (22)-(24).

$$k_1 = \frac{I_1}{I_4}, I_1 + I_4 = I_{RL1}$$
(22)

$$k_2 = \frac{I_2}{I_3}, I_2 + I_3 = I_{RL2}$$
(23)

$$k_b = \frac{I_5}{I_6}, I_5 + I_6 = I_{bat}$$
(24)

These sharing proportions are used to evaluate the system power loss change, as shown in Fig. 6. Fig. 6(a) shows the system power losses with the  $k_b$  changes at different battery charging current levels. Moreover, Fig. 6(b) shows the power losses while feeding various  $I_{RL2}$  by different values for the sharing proportion of the load current. As shown in this figure, under light load conditions, the power loss can be reduced by supplying the loads and battery charging currents



Fig. 6. Effect of various sharing ratios on the power loss for charging a battery and in mode 2: (a) Power loss for charging a battery for  $i_{bal}$ =0.5~4A,  $i_1$ =1.5A and  $i_2$ =1.5A, (b) Power loss for feeding  $R_2$  for  $i_{RL2}$ =0.15~0.85A and  $i_{RL1}$ =1A.

in the non-equal sharing current. In addition, under different operating conditions, the optimum point also changes. This means that under different operating conditions, the optimal operating point of the system is different. Therefore, it is possible to determine this point using an optimal algorithm.

According to the above-mentioned analysis, the optimization problem can be defined as follows:

Objective Function:  $Min\{P_{TL}\}$  (25)

Decision Variables: 
$$\{r_1, r_2, ..., r_6\}$$
 (26)

$$I_1: I_2: \dots: I_6 = \frac{1}{r_1}: \frac{1}{r_2}: \frac{1}{r_3}: \dots: \frac{1}{r_6}$$
 (27)

$$0 \le \{l_1, l_2, \dots, l_6\} \le l_{MAX} 
0 \le \{r_1, r_2, \dots, r_6\} \le 1$$
(28)

$$0 \leq \{i_{res1}, i_{res2}\} \leq I_{res,MAX}$$

$$if i_3 > 0 \to r_1 = 0, r_4 = \infty$$

$$if i_3 > 0 \to r_2 = 0, r_3 = \infty$$
(29)

$$\begin{bmatrix} i_{RL1} \\ 0 & 1 \end{bmatrix} \begin{bmatrix} 1 & 0 & 0 & 1 \\ 0 & 1 & 1 & 0 & 0 \\ 0 & 1 & 1 & 0 & 0 \end{bmatrix} \begin{bmatrix} i_{L1} & i_{L1} & i_{L2} \\ 0 & 1 & 1 & 0 & 0 \\ 0 & 1 & 1 & 0 & 0 \end{bmatrix} \begin{bmatrix} i_{L1} & i_{L2} & i_{L2} \\ 0 & 1 & 0 & 0 \\ 0 & 1 & 0 & 0 \end{bmatrix}$$
(20)

$$\begin{bmatrix} l_{RL2} \\ i_{bat} \end{bmatrix} = \begin{bmatrix} 0 & 1 & 1 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 1 & 1 \end{bmatrix} \begin{bmatrix} l_1 & l_2 & \dots & l_6 \end{bmatrix}$$
(30)

$$\begin{cases} i_{res1} = i_1 + i_3 + i_5 \\ i_{res2} = i_2 + i_4 + i_6 \end{cases}$$
(31)

Where  $I_{MAX}$  and  $I_{res, MAX}$  are the maximum conversion current limits for each of the converters and the maximum current limit for each of the RESs. Considering the stability issues, the sharing ratio between any two possible sides  $(K_1, K_2 \text{ and } K_b)$  is limited between 1/20 and 20.

To optimize the model formulated above, an optimization algorithm should be selected. The selection of the algorithm is done according to an objective function analysis. For this purpose, global and local optimization methods are available. The fastest optimization algorithms only look for an optimal local point called the local optimization, such as steepest descent and Newton algorithms. For this reason, local optimization does not provide global optimal solutions. Therefore, to find a global optimum, global optimization algorithms such as a Genetic Algorithm (GA) are used. Therefore, this article used a GA to solve the optimization problem. The crossover rate is set to 0.8. In order to calculate in the minimum time given the acceptable quality of the final solution, N<sub>pop</sub> is set to 200.

## VI. SIMULATION RESULTS

In order to verify the performance of the proposed converter and the hierarchical controller, a number of computer simulation studies are carried out in Matlab-Simulink. The parameters of the proposed converter are listed in Table 2.

It is assumed that, RES<sub>1</sub> and RES<sub>2</sub> are capable of producing a maximum power of 2kW. The cut-off frequency of the first-order Butterworth LPF implemented between the secondary and primary control is set to 400 Hz. Simulation waveforms of the voltages ( $V_l$ ,  $V_2$  and  $V_{bal}$ ), the inductor current ( $i_L$ ) and the converter modes under different load currents are shown in Fig. 7. As analyzed previously, these simulations were carried out in four different operational modes.

## A. 0~0.02: NBM Mode

When  $R_{L1} = 80\Omega$  and  $R_{L2} = 80\Omega$ , the voltages  $V_1$  and  $V_2$  tend to stay naturally balanced without any control, and eventually they stay equal. Since  $V_1$  and  $V_2$  stay between  $V_{min-allowed}$  and  $V_{max-allowed}$ , the balancer operates in this mode. In this mode, the inductor current is constantly zero.

## B. 0.02~0.04: Low Load Condition

During 0~0.02,  $R_{L1} = 400\Omega$ ,  $R_{L2} = 200\Omega$  and the battery does not reach its maximum SoC level. In this case,  $V_1$  and  $V_2$ stay between  $V_{max}$  and  $V_{max-allowed}$ . Therefore, the converter operates in mode 2. The proposed hierarchical control method is considered in this mode. According to the converters efficiency curves, the tertiary control gives an overall efficiency improvement in accordance with the objective function analysis done in section IV. Assume receiving 4A for charging the battery, as shown in Fig. 7(a). Then RES<sub>1</sub> sends 1.19A to support  $R_2$  and 2.1A to charge the battery. Moreover, RES<sub>2</sub> sends 0.81A to support  $R_{L2}$  and 1.9A to charge the battery. Using the proposed control strategy, the power loss value is 389.36 W. Meanwhile, with

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Fig. 7. Simulation results: (a) Modes, (b) Transformer inductor current, (c) Voltage of the battery, (d) Output voltage of the negative and positive terminals, (e) Load currents under various load currents, (f)  $i_2$ ,  $i_3$ ,  $i_5$  and  $i_6$  in mode 2.



Fig. 8. Effect of increasing  $I_{RL2}$  and  $I_{RL1}$  on changing the sharing proportions. It is assumed that  $I_{bat}=4A$  and: (a)  $I_{RL1}=0.3A$ ; (b)  $I_{RL1}=0.6A$ ; (c)  $I_{RL1}=0.9A$ .

an equal share proportion in charging the battery and independently supplying the loads, the power loss value will be 413.66 W.

#### C. 0.04~0.06: Power Transferring Between Buses

When the reduction of  $R_{L2}$  continues to 57 $\Omega$ , ( $R_1$  stays at 400 $\Omega$ ), the redundant power-generated potential of RES<sub>1</sub> is enough to supply the  $R_{L1}$  and  $R_{L2}$  load demands. Under this condition, the proposed converter changes to mode 3. For this reason and due to increased compensation, the upper bus only considers compensating the voltage of the negative bus. Then  $V_2$  begins to increase to the allowed voltage lower limit

 $V_{min-allowed}$ . After that, the operation mode changes to mode 1 until  $V_2$  reaches  $V_{min}$  again.

## D. 0.04~0.06: Battery Discharging Mode

With a greater reduction in  $R_{L1}$  ( $R_{L1}$ =50 $\Omega$ ,  $R_{L2}$ =57 $\Omega$ ), both of the bus voltages stay between  $V_{min}$  and  $V_{min-allowed}$ . Therefore, the battery discharges on both buses to increase the voltages  $V_1$  and  $V_2$ . Finally, these voltages come back to the allowed values during droop control. Then  $V_1$  and  $V_2$  begin to i ncrease to the allowed voltage lower limit  $V_{min-allowed}$ , and like the previous conditions, the operational mode changes to mode 1.



Fig. 9. Effect of increasing  $I_{RL2}$  and  $I_{bat}$  on changing the sharing proportions. It is assumed that  $I_{RL1}=1A$  and: (a)  $I_{bat}=2A$ , (b)  $I_{bat}=3A$ , (c)  $I_{bat}=4A$ .

In order to test the performance and response of the hierarchical control method in mode 2, as shown in Fig. 8, a load profile of  $R_{L2}$  is given to the system. The value of  $i_{R2}$  varied from 0.8 A to 2.6 A. In this figure it is assumed that the battery charging current is 4A. In Figs. 8(a), (b) and (c)  $i_{r1}$ =0.3A, 0.6A and 0.9A, respectively. During the test phase, it can be observed that the converter control strategy is optimized by the tertiary control and that the system efficiency is enhanced when compared with non-optimized results.

In order to test the performance of the optimization algorithm, the effect of increasing the battery charging current is shown in Fig. 9. In this figure,  $I_{RLI}$ =1A. In Fig. 9(a), (b) and (c), the battery charging current is assumed to be 2A, 3A and 4A, respectively. It can be seen that in all of the load conditions, the GA tries to minimize the objective function value (power loss). It can be seen that the strategy of using converters under different load levels and battery charging powers, is carried out to achieve the highest system efficiency. Since the RES<sub>1</sub> converter has the highest efficiency, it is mostly employed in light and medium loads levels.

## VII. EXPERIMENTAL RESULTS

In order to verify the hierarchical control strategy in the proposed converter, a low voltage and power (24V-50W) experimental system of the proposed converter, shown in Fig. 11, is designed and implemented. The experimental testbench includes two 24V DC input voltage sources with a maximum current of 2A, the proposed three-port multidirectional converter, two cascade VRLA 12V batteries and loads. The converter parameters are listed in Table II.

To determine the converter efficiency curve, the duty cycle is adjusted to meet different load current levels, and the input voltages are kept the same during the measurements. This

 TABLE II

 Main Parameters of the Experimental Setup

Parameter	Value
$N_{P}=N_{s1}=N_{s2}$	15
Transformer wire size	AWG 18
Transformer core size	EE 55
Reference voltage (V <sub>ref</sub> )	24V
Maximum voltage (V <sub>max</sub> )	26V
Minimum voltage (V <sub>min</sub> )	21 V
Maximum-allowed voltage (V <sub>max-allowed</sub> )	25V
Minimum-allowed voltage (V <sub>min-allowed</sub> )	23V
Battery	12V-100AH
Battery fully charged voltage	13.06 V
Switching Frequency	10kHz
Inductor L <sub>m</sub>	0.288 µH
Capacitor C <sub>1</sub> and C <sub>2</sub>	220 µF
Duty cycle upper limit (D <sub>MAX</sub> )	0.8
Inductor current upper limit $(i_{MAX})$	2.5A

curve is shown in Fig. 10(a). The MATLAB Curve Fitting Tool is used to transform data into functions as follows:

$\eta_{RES1} = 0.9869 \mathrm{e}^{-0.02445 i_{RES1}} - 0.2004 e^{-3.879 i_{RES1}}$	(32)
$\eta_{RES2} = 0.9697 \mathrm{e}^{-0.04661  i_{RES2}} - 0.4747 e^{-4.385  i_{RES2}}$	(33)
$\eta_{dc2dc} = 0.8508e^{-0.00303i_{dc2dc}} - 0.4323e^{-6.319i_{dc2dc}}$	(34)
$\eta_{dc2bat} = 0.9128e^{-0.01098i_{dc2bat}} - 0.2062e^{-4.995i_{dc2bat}}$	(35)

Under the condition of  $R_{Ll} = R_{L2} = 47\Omega$ , the voltage sources power generations are more than the load demands in both buses. Therefore, the proposed converter operates in mode 2 and the battery can be charged with positive and/or negative buses. The proposed hierarchical control method is used in this mode. The tertiary control gives an overall efficiency improvement in accordance with the objective



Fig. 10. Experimental waveforms: (a) Conversion efficiencies of the DC bus to battery and the DC bus to DC bus modes, (b) S12, S13, S22 and S23 signals, battery charging current and  $R_{L1}$  voltage in mode 2, (c) Voltages of  $R_{L1}$  and  $R_{L2}$ , output current of the positive voltage source and current of  $R_{L2}$  in mode 3, (d) Voltages of  $R_{L1}$  and  $R_{L2}$ , current of  $R_{L2}$  and battery charging current in mode 4 with  $R_{L1} = 11.75\Omega$ ,  $R_{L2} = 7.2\Omega$ , (e) Mode 4 with  $R_{L1}=R_{L2}=8.2\Omega$ .



Fig. 11. Photograph of the proposed converter prototype.

function. Assume receiving 2A for charging the battery. Then RES<sub>1</sub> sends 1.67A to support  $R_{L2}$  and to charge the battery. Moreover, RES<sub>2</sub> sends 1.35A to support  $R_{L2}$  and to charge the battery. The voltages of  $R_{L1}$ , the output current of RES<sub>1</sub> and RES<sub>2</sub> and the battery charging current are shown in Fig. 10(b).

When  $R_{L2}$ =7.2 $\Omega$  and  $R_{L1}$  stays at 47 $\Omega$ , the powergeneration potential of the positive voltage source is more than the  $R_1$  load demand. Under this condition, the proposed converter operates in mode 3. The upper bus only considers compensating the voltage of the negative bus. Fig. 10(c) shows the  $R_{L1}$  and  $R_{L2}$  voltages, the output current of the positive voltage source and the current of  $R_2$  before and after compensation. In this condition, LVUR is reduced from 54.3% (before compensation) to 13.4% (after compensation).

With a decrease in  $R_{L1}$  ( $R_{L1} = 11.75\Omega$ ,  $R_{L2} = 7.2\Omega$ ), the voltage sources generations are no longer enough to supply the loads. Therefore, the proposed converter operates in mode 4 and the battery can participate to compensate the lower bus voltage. In this mode, the battery compensates the lower bus voltage. The voltage of  $R_{L1}$  and  $R_{L2}$ , the current of the battery and the current of  $RL_2$  before and after compensation are shown in Fig. 10(d).

Under the condition that  $R_{L1}$  and  $R_{L2}$  are 8.2 $\Omega$ , there is not enough power generated by the voltage sources to supply the available loads. In this case, the battery compensates the positive and negative bus voltages through the proposed converter. The voltage of  $R_{L1}$  and  $R_{L2}$ , the current of the battery and the current of  $R_{L2}$  before and after compensation are shown in Fig. 10(e).

#### VIII. CONCLUSION

In this paper, a bipolar multidirectional converter and a hierarchical control scheme were proposed for bipolar-type DC microgrids. The advantages of this new converter are as follows. 1) Enhancing the BPDCMG loadability due to the ability to transfer the terminal loads to another terminal. 2) Reducing the number of converters by integrating a voltage balancing converter and a battery converter. 3) Reducing the current of the neutral line and its associated power losses. 4) Possibility of balancing the voltage in the island condition of a BPDCMG by disconnecting the interlink converter. The structure of the proposed converter enhanced the system efficiency under light load conditions thanks to the proposed hierarchical control method. Under the proposed hierarchical control scheme, the proposed converter balanced the voltages of a BPDCMG with maximum system efficiency. Finally, simulation and experimental results had verified that the proposed converter had a suitable capability to balance buses voltages with unbalanced loads. Furthermore, the converter had a smooth transition without voltage or current stresses by changing the loads.

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